



**Seattle**  
Office of Housing

# NOTICE OF FUNDING AVAILABILITY

## Winter Rental Housing Program

January 26, 2022

**Application Due Date:** February 25th at 12:00 p.m.

**City of Seattle**  
Bruce Harrell, Mayor

**Office of Housing**  
Robin Koskey, Interim Director



To our applicants:

The Seattle Office of Housing is pleased to announce this Winter 2022 Notice of Funding Availability. We recognize that even with record levels of investment over the past few years, additional affordable housing projects are prepared to seek immediate funding.

This NOFA is issued earlier in the calendar year than any past solicitation, reflecting OH's ongoing commitment to expedite both investment of resources and delivery of affordable housing in the midst of our affordable housing crisis. We also intend for this NOFA round to inspire continued innovation and creativity from our partners. This mid-cycle funding round is thus more limited than the traditional Fall NOFA; both the amount of funding available and the types of projects eligible for consideration are constrained.

We anticipate allocating approximately \$25 million dollars. Sponsors of projects intending to seek an allocation of 9% low-income housing tax credits should not apply under this NOFA; any project requiring such credits should defer its application for City funding until the upcoming fall NOFA. Similarly, sponsors should defer funding applications for projects that will be well-positioned for State Housing Trust Fund or King County capital funding.

To be eligible for OH review, sponsors of other projects must meet at least one of the following four criteria.

- 1) The project is timed to meet funding deadlines with the potential to yield additional resources that would otherwise be lost.
- 2) The project advances a mixed-use vision that responds to community priorities.
- 3) The project offers exceptional cost efficiency.
- 4) The project furthers culturally identified community-based organizations' involvement in developing and operating culturally-responsive affordable housing, especially in cases where the organizations are led by and/or oriented towards communities that are historically underrepresented in the real estate development community.

Satisfying at least one of these eligibility criteria is a threshold requirement for a proposal's consideration for OH funding under this NOFA. All applications must therefore include a one-page cover letter that succinctly explains which criteria are satisfied, and how; OH staff will use this letter to determine whether an applications can advance to full consideration.

You, our partners in delivering affordable housing throughout the City of Seattle, are essential to making our shared vision come to fruition: a Seattle that is affordable, equitable, and inclusive.

We look forward to your proposals.

Sincerely,

A handwritten signature in black ink, appearing to read "Robin Koskey".

Robin Koskey, Interim Director



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- 1. OH Table of Contents/Checklist
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# I. Introduction, Goals, and Funding Availability

The City of Seattle Office of Housing (OH) is announcing the availability of approximately \$25 million\* in capital funds for the Rental Housing Program.<sup>1</sup> \*

This funding is intended to support the production and preservation of rental housing that will provide long-term affordability to Seattle residents. This section describes the special objectives targeted by this NOFA, reviews the full range of goals of the Rental Housing Program, and identifies the amounts and types of fund sources available.

With this Winter announcement, OH is prioritizing projects that can support debt as their primary leverage source or use Federal source of funding as leverage. As in the past, OH will prioritize deeply subsidized projects in the traditional Fall NOFA, when other leveraged sources are coordinated and available, such as the State Housing Trust Fund, King County, and the Low Income Housing Tax Credit 9% program. If a project is competitive for any of these resources, OH asks that these projects apply in the Fall 2022 round.

Consistent with an emphasis on reduced reliance on traditional subsidy-based leverage sources, including the 4% Low Income Housing Tax Credit, this NOFA specifically invites proposals that offer exceptional cost-efficiency. This NOFA also explicitly invites proposals that advance a mixed-use vision that responds to community priorities as well as proposals submitted by organizations whose leadership and mission speak to authentic and trusted relationships with communities historically underrepresented in affordable housing development, including BIPOC communities.

Finally, projects will be most competitive for consideration under this NOFA if they offer superior transit accessibility, defined as a location within a 10-minute walkshed of an existing or future Link light rail, Metro bus rapid transit, or Seattle Streetcar stop. Rental Housing Program Goals. Regardless of the method by which projects are delivered, the goals of the Rental Housing Program remain unchanged.

- Provide a mix of affordable rental housing, serving a range of households and income levels consistent with income limits and affordability requirements for each fund source, to promote housing opportunity and choice throughout the City.
- Contribute to countywide efforts to make homelessness rare, brief, and one-time by providing housing that serves individuals and families who are homeless or at risk of homelessness, including those with long or repeated periods of homelessness, chronic disabilities, criminal records, or other significant barriers to housing.
- Provide a stable and healthy living environment where Low-Income individuals and families can thrive, with culturally relevant and linguistically competent services, and with access to education, employment, affordable transportation, and other opportunities and amenities.

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<sup>1</sup> For a complete description of the Rental Housing Program, see Appendix A.

- Promote preservation of affordable housing, and prevent displacement of Low Income residents, through purchase and rehabilitation of existing housing.
- Contribute to the City’s equitable development goals through the development and preservation of affordable housing in low-income neighborhoods where underserved groups have historic ties, including neighborhoods where low income individuals and families are at high risk of displacement.
- Contribute to the development of sustainable, walkable neighborhoods, particularly near high-capacity transit, giving low income residents access to transportation, services, and economic opportunity.
- Working collaboratively with other funders of affordable rental housing to ensure that the greatest number of quality affordable housing units are preserved or produced each funding round.
- Promote cost-effective sustainable design, construction, rehabilitation, and operations of affordable housing.
- Reinvest in low-income housing when necessary to upgrade major building systems, improve operations, energy efficiency, and safety, and extend the life of the building.

## **Funding Availability and Restrictions**

Approximately \$25 million\* in funding is available under this NOFA. The funding available under this NOFA is subject to various affordability restrictions. Applicants are encouraged to be familiar with the specific requirements of all available funds. Applicants do not need to specify which sources they are seeking, as OH will determine appropriate funds based on project-specific and program-wide considerations. For development budget and underwriting purposes, please follow the 2021 OH Rent and Income Limits found [here](#).

### **Incentive Zoning Bonus Program Funds and Mandatory Housing Affordability Payments**

The funds advertised through this NOFA funding round derive primarily from two sources:

- Incentive Zoning Bonus Program Funds, comprising proceeds from market-rate developments that access bonus floor area under SMC 23.58A.
- Mandatory Housing Affordability Program Funds, comprising proceeds from both commercial and residential development (SMC 23.58B and 23.58C, respectively) for developers selecting the payment option.

Funding from each of these sources is eligible to serve all populations and prioritized for housing affordable to households with incomes at or below 60% of Median Income. Not all funds available from these sources will be expended under this NOFA, as these sources also support funding awards for affordable housing development opportunities made available through the fall NOFA and site-specific requests for proposal.

## II. Competitive Criteria

In addition to advancing the overall goals of the Rental Housing Program, OH will evaluate proposals in the following areas.

- A. Project Plan:** Project descriptions and other supporting sections of the applicant's proposal should explicitly state how the project's features (e.g., location, design, on-site and off-site amenities) support specific goals of the Rental Housing Program. All proposed projects should offer residents easy access to services and job centers. If an applicant is proposing innovative financial or project delivery strategies, those strategies and their impact on the project's cost-effectiveness should be delineated in the application.
- B. Organizational Capacity:** OH seeks to invest in organizations that represent a sound long-term investment of scarce housing resources. OH will evaluate applicants' demonstrated capacity to develop and deliver their proposed projects on time and within budget. OH seeks to invest in organizations that have a high probability of attracting LIHTC investors and permanent debt lenders, 50-60% of the typical leverage in most affordable housing projects. Applicants must also demonstrate the capacity to successfully and sustainably operate affordable housing operations over the long term. Notwithstanding the importance of organizational capacity, OH also seeks to invest in organizations and projects that align with the City's Race and Social Justice Initiative and that advance systemic change to eliminate race-based disparities in our community. Organizations that have traditionally served households disproportionately represented among those with housing cost burden and whose leaders have authentic and trusted relationships with communities historically underrepresented in affordable housing development, including BIPOC communities, are expressly encouraged to apply to this NOFA. OH staff will work with applicants to seek ways to bolster organizational capacity or moderate expectations on certain factors, such as the depth of an organization's balance sheet.
- OH will evaluate applicants' development pipeline relative to the applicant's organizational capacity and proposed staffing plan for the proposed project. Proposals from applicants that have a prior project funded by OH that has not closed and begun construction will be held to a higher standard to demonstrate capacity. This criterion applies to applicants with bridge loans or permanent loan commitments.
  - OH will evaluate applicants' recent development track record, including whether projects were completed within the planned timeframe and budget. OH will also review the performance of the applicant's current OH portfolio, if any, including the presence of a portfolio preservation plan. (See below for more information on the performance measures for existing properties.)
  - OH will look for applicants to affirm an affordable housing mission in their applications, through a track record of experience developing income- and rent-restricted housing, and in their organizational documents as appropriate
  - Applicants must demonstrate an effective model for decision-making and oversight. For example, organizations governed by a board of directors may be assessed on the basis of the diversity of skills held by the directors and the content of organizational bylaws and

meeting minutes. Applicants that do not operate under a board structure should provide articles of incorporation, partnership agreements, or other documentation to sufficiently evidence the nature and structure of the entity and identify the roles and responsibilities of all officers, directors, or other partners.

- Applicants must submit a full suite of third-party audited financial statements (balance sheet, income statement, cash flow statement, and ratios). Applicants that do not have third-party audited financial statements will be required to provide alternate documentation to demonstrate the financial soundness, with the parent organization and any subsidiaries broken out, in addition to consolidated totals. Audits must comply with consolidation rules under US GAAP. Audits must include any management letters from the auditor, and must reflect an unqualified opinion with no findings or material weaknesses that would be cause for concern about the financial operations of the applicant. In general, OH's review shall apply the following thresholds:
  - i. Current assets should exceed current liabilities by a margin of 1.2:1.
  - ii. Cash ratio: cash + cash equivalents should equal .5 to .75 of current liabilities.
  - iii. Days cash: organization should have 90 days cash at its disposal.
  - iv. Working capital: current assets minus current liabilities should be positive.
  - v. Statements should show a positive trend in net assets.
  - vi. Twelve-month cash flow statements may be requested.
  - vii. YTD Financial Statements may be requested.

*Note: Applicants that do not have direct experience in developing, owning and managing affordable housing may demonstrate capacity by partnering with more experienced organizations. OH will evaluate any proposed partnerships to ensure they meet the needs of the proposed project and are sustainable for an appropriate length of time; if necessary, OH reserves the right to request additional materials demonstrating the capacity of partner agencies. If applicants seek to develop and operate housing through partnerships, the above criteria shall apply to the partner assuming primary ownership responsibility and financial risk for the project.*

**C. Race and Social Justice Initiative (RSJI):** The Race and Social Justice Initiative is the City of Seattle's commitment to end institutional racism in City government and to achieve racial equity across our community. The initiative is especially focused on systemic change to eliminate race-based disparities in our community. Applicants must demonstrate how the proposed project advances race and social equity in Seattle. One method applicants can use to demonstrate this would be a race and social justice equity analysis of their project proposal and their internal agency/organization operations. Applicants are strongly encouraged to use the racial equity toolkit such as one developed by the Housing Development Consortium found [here](#) or tools available through the City's Race and Social Justice Initiative found [here](#). **Applicants must submit draft responses to the RSJI questions appearing in OH Addendum Section 9.**

**D. Performance of Existing Properties:** OH also seeks to invest in organizations that successfully operate existing properties. If an applicant has projects in the OH portfolio, OH will ensure that the organization is in good standing as defined in the 2021-22 Housing Levy Administrative & Financial Plan. Other applicants should submit alternate evidence of successful operations and compliance

with regulatory requirements; projects employing low-income tax credits, for example, should demonstrate compliance with the requirements of the relevant housing finance agency.

- E. Cost-Effectiveness:** In order to help ensure OH's proper stewardship of scarce resources, all proposals must demonstrate a cost-effective investment of public funding. For projects that achieve extraordinary cost-effectiveness through innovative manufacturing or construction methods, applicants must demonstrate a project delivery plan and development budget sufficiently advanced to evidence how the cost reductions are achieved. All projects should meet the standards described below, and all proposals, including those employing traditional financing tools, should describe how cost-saving measures are integrated into project design and development.
- Development costs should (at minimum) not exceed the total development cost per-unit limits established by Washington State Housing Finance Commission for the current year. Projects that exceed such limits will be less competitive for. Projects that are 5% or 10% or below the cost limits will be eligible for an additional cash incentive in their Developer Fee (see [Appendix F](#)).
    - Per-square foot and per-unit construction costs should reflect current market trends. Projects will be compared to average hard costs, and to other projects proposed in a given funding round. OH will seek third-party analysis to ensure costs are aligned with the market. Applicants may be asked to revise their budget. OH has secured a construction consultant that is available, for technical assistance in advance of the NOFA submission for a fee-for-service, please contact Jerome Burns, [jerome.burns@seattle.gov](mailto:jerome.burns@seattle.gov) or via phone at 206.684.7237 while we are working remotely.
    - Applicants should demonstrate negotiation of property acquisition that ensures a competitive purchase price and reflects factors such as site work challenges and zoning limitations (see minimum requirements for purchase agreements below).
    - Design should promote efficient use of space, and build upon previous design work when possible. Projects that do not maximize the development capacity of the site should explain why this is necessary or advantageous.
    - Design should also demonstrate use of sustainable materials and systems that create long-term operational efficiencies.
    - Parking is no longer required for affordable housing projects, by code. Projects with parking proposed in their development should prepare a justification and cost-analysis for OH review.
    - Applicants should demonstrate that they have secured competitive fees for contractors and professional services.
    - Applicants should explain the inclusion of any project costs that are not included among standard eligible costs for OH projects.



- Proposals should describe a thoughtful plan to competitively select contractors and minimize change orders.
- Proposals should show a clear delineation of project team functions that avoids redundant design and project management fees.
- Applicants must negotiate purchase (or option) agreements with reasonable terms:
  - i. Agreements must require the purchase price to be supported by current appraised value.
  - ii. Agreements may not include automatic cost escalators that would cause the purchase price to exceed the current appraised value.
  - iii. Agreements must comply with the Uniform Relocation Assistance and Real Property Acquisition Policies Act (URA) – see Policies and Procedures.
  - iv. Extension fees and earnest money deposits should be applicable toward the purchase price (and must be if the purchase price is equal to the appraised value). Applicants are strongly encouraged to consult with OH prior to payment of nonrefundable extension fees.
  - v. Agreements must comply with federal fund source requirements.

**F. Capital Leverage:** Consistent with OH policies and to achieve OH production goals, it is necessary that every City dollar be leveraged to the maximum extent with other resources. Leverage achieved outside of traditional public sources, including the 4% Low Income Housing Tax Credit, is especially encouraged. With this in mind, specific evaluation criteria include the following.

- City funding as a percentage of total development costs.
- The applicant’s capacity to secure other funding sources. In the case of projects proposing to employ an allocation of low income housing tax credits, OH seeks to invest in applicants with a high likelihood of securing a tax credit investor and negotiating favorable investment terms.

**G. Operating and Service Leverage:** For projects serving extremely low-income and special needs households that depend on ongoing operating and/or service support, applications must demonstrate a high likelihood of securing needed levels of subsidy. OH’s underwriting of such projects will include a review of the applicant’s track record for securing mainstream resources and the project’s competitiveness for limited service and operating dollars. If proposing a 4% project, applicants should show service expenses “below the line”.

**H. Schedule:** OH continues to emphasize readiness throughout the competition. Projects that cannot be under construction by March of 2023 will be deemed less competitive and encouraged to apply in a subsequent year’s round.

## III. Basic Loan Terms and Conditions

The following section describes basic loan terms and conditions for the Rental Housing Program. The City of Seattle Housing Funding Policies includes more extensive information on loan conditions (see [Section 2](#), pages 18-22). A full set of conditions will be included in OH loan documents.

### Loan Term

All loans will be for a minimum term of 50 years. Any unpaid principal balance and accrued, but unpaid interest on OH loans will be due and payable at the end of the 50-year loan term. Loan documents may provide borrowers with an option of extension, or, in certain circumstances, satisfaction of some or all of the amounts owing through extended provision of affordable housing.

### Interest Rate

The interest rate for projects not using low-income housing tax credits will generally be 1%. The interest rate for projects using low-income housing tax credits will be a minimum of 1% simple interest and a maximum of the Applicable Federal Rate for the purposes of Section 42 of the Internal Revenue Code, depending on the project's projected capacity for repayment. The actual interest rate for projects using low-income housing tax credits will generally range from 1-3% and will be set on a case-by-case basis. The interest rate may exceed 1% where there is a net financial benefit to the project. Interest on program loans will accrue annually as simple interest.

### Repayment

OH will generally make deferred payment loans that are payable in full on sale, on change of use, or at the end of the loan term. Terms generally will permit borrowers to further defer payment of principal, deferred interest, and contingent interest by extending the loan term. Cash flow payment loans, or loans requiring periodic payment of some or all interest, will generally be required if project proformas indicate that the borrower will be able to make payments and meet expenses consistent with rent limits. Required payments may be set to begin at a future date, such as after a tax credit compliance period. Terms of repayment will be established in the award letter and again at the time the regulatory agreement is executed. OH may agree to an owner's request to modify repayment terms at a future date, such as after a tax credit compliance period, if the Director finds that the change is necessary under certain conditions.

### Contingent Interest

Contingent interest shall be required for all projects at maturity, except as described in the Administrative and Financial Plan or in the event of a change of use or sale of property before the loan maturity date. Contingent interest shall be calculated according to a formula established by OH.

### Covenant

A covenant will be recorded against the property that requires continued use of the units funded by the City as low-income housing for the stated term of the loan, and for any period for which the loan is

extended or remains outstanding. Unless otherwise agreed by the Director, the covenant shall continue in effect if the loan is repaid or discharged before the maturity date.

## IV. OH Policies and Procedures

The large majority of policies that govern the use of OH funds for rental housing development can be found in OH's Housing Funding Policies, the relevant sections of which may be found in Appendices A through C. The following is a select overview of those policies, as well as additional policy direction that applies to projects that apply through this funding round. Borrowers should be prepared to comply with any policy applicable to federally funded projects.

### **Developer Fee Schedule**

Developer fees are an eligible development budget expense and must relate to actual work needed to develop the proposed project. The proposed fees must be consistent with the OH Developer Fee Schedule included in [Appendix F](#).

### **Good Standing on Existing Loans and Subsidy Contracts**

The applicant, proposed borrower and all of their affiliated entities (whether or not involved in the proposed project) must be in Good Standing on all existing loans and subsidy contracts administered by OH. Borrowers are notified annually if they are not in Good Standing. See the [Housing Funding Policies](#) (Project Monitoring: Section C, page 30) for the complete Good Standing policy.

### **Environmental Review and Choice Limiting Actions**

Applicants that receive federal funds will have to submit their project for an additional environmental review under the National Environmental Policy Act (NEPA). For most projects, this will entail submission of additional materials such as a noise study, and complete mitigation plans for any environmental concerns discovered. Applicants must also avoid taking any choice limiting actions such as demolition or signing of contracts (other than purchase options or conditional contracts).

### **Consistency Certifications (Siting Policy, Neighborhood Notification)**

All projects must obtain certifications of consistency with the City of Seattle Consolidated Plan, Housing Funding Policies, and, if applicable, the All Home Strategic Plan. Applicants should allow for 30 days processing time, and should note two important policies that must be followed to receive a Consistency Letter:

- *Development Siting Policy:* Projects must not add extremely low-income housing units in excess of the capacity for such housing in the project's Census block group. See [Section 9](#), Housing Funding Policies, for the complete policy.

Neighborhood Notification and Community Relations Policy: Applicants must prepare a draft community relations plan, prior to applying for OH funds. See [Section 9](#) (page 69), Housing Funding Policies, and visit the [OH website](#) for the complete set of [guidelines and instructions](#).

## **Bidding and Contracting**

Borrowers shall make every reasonable and practicable effort to utilize a competitive public bidding process. OH strongly encourages rigorous competitive selection to capture competitive pricing. Applicants must propose a competitive process for contractor selection in their application (see Attachment 3 - OH Addendum). The following rules apply:

- Price must be a factor in the competition. At minimum, the solicitation must ask for proposed contractor fee, overhead and markup, and must address contract type and construction delivery methods (see paragraph below).
- Borrower must be able to provide to OH an explanation of the subcontractor selection process, and bid breakouts with labor and materials for each subcontract.
- Borrower must ensure transparency in the selection of contractors.
- Borrowers may request a waiver from the OH Director to competitively select the general contractor based on specific project or market conditions. Borrowers seeking a waiver must take steps to ensure competitive pricing and submit all of the information described in the OH Addendum with the waiver request.

OH reserves the right to review and approve the solicitation process, contract type and construction delivery methods prior to implementation. OH approves of the use of Cost Plus a Fee with a Guaranteed Maximum Price and Stipulated Sum Contracts, and strongly encourages the use of “open book” contracts. Applicants seeking to use alternate types of contracts may propose to do so in their NOFA application. Once a general contractor is selected, OH will review the construction contract and any amendments prior to execution. The applicant can request a waiver to competitively select the general contractor under certain conditions. The waiver request should be submitted in writing for OH approval.

## **Utility Allowances**

Seattle Office of Housing programs primarily use the Seattle Housing Authority's (SHA) utility allowances when determining maximum rent levels (see [Income & Rent Limits](#)). These utility allowances are available on [SHA's website](#). Applicants may be awarded HOME funding, in which an alternative utility allowance methodology is required. OH will inform the applicant at the time of award which utility allowance methodology will be required for the awarded project.

Please see our website for additional information: <http://www.seattle.gov/housing/property-managers/utility-allowances>.

## **Fair Contracting, WMBE Utilization, and Section 3**

Borrowers must comply with the City's Fair Contracting Practices Ordinance. Borrowers and their general contractors shall be encouraged to take actions, consistent with that ordinance, which would increase opportunities for women and minority business enterprises (WMBEs). A combined WMBE aspirational goal of 14% of the total construction and other contracted services contracts shall apply for all affordable rental housing capital projects funded by OH. OH shall encourage additional efforts to increase WMBE participation, including mentoring programs and participation in apprenticeship and other training opportunities.

In addition, projects that are awarded federal funds must comply with Section 3 of the Housing and Urban Development Act of 1968, which requires that all economic opportunities generated by HUD funding be directed, to the greatest extent feasible, to low-income persons residing in the community where the project is located. Borrowers and their contractors must complete a Section 3 plan stating numerical goals for contracting and hiring that meet federal targets as well as a description of the efforts they will make to achieve these goals. OH will provide connections to agencies serving Section 3 businesses and workers to assist in these efforts.

Both WMBE and Section 3 should be addressed in the section of the application where a bidding/contracting process is proposed. Once construction begins, Borrowers will be required to report regularly on progress toward meeting WMBE and Section 3 goals, if applicable. OH will provide further instruction upon fund reservation.

### **Evergreen Sustainable Development Standard (ESDS)**

Since 2003, OH has required sustainable building plans as a part of its policy to promote energy conservation, sustainable building practices and operational savings in affordable multifamily housing projects. Upon adoption of a state-wide *Evergreen Sustainable Development Standard* in response to RCW 39.35D.080, OH joined the State in requiring a uniform minimum level of sustainability in projects funded by OH and the State Housing Trust Fund (HTF). Funding applications to OH and the HTF require applicants to use the *Evergreen Checklist* to demonstrate how a proposed project will meet the *Evergreen Standard*.

*Note: The State Department of Commerce has updated ESDS to address changes in the State's Energy Code and fix inconsistencies in the current standard. Applicants will be required to follow the new ESDS and submit the updated Evergreen Checklist with their application for City funds. More information at <https://www.commerce.wa.gov/building-infrastructure/housing/housing-trust-fund/housing-trust-fund-evergreen-sustainable-development/>*

### **Residential Prevailing Wage Rate Policy**

State Residential Prevailing Wage Rates shall be the minimum rates applicable to all projects funded by the Rental Housing Program, unless a higher minimum rate applies or an exception is made as allowed in this paragraph. When federal funds trigger prevailing wages determined under the Davis Bacon Act in a project, the higher of either the State Residential Prevailing Wage Rates (unless modified as stated below) or Davis-Bacon wage rates will apply to each job classification, unless applicable law requires otherwise. The OH Director may approve a change in these requirements if necessary to achieve compatibility with a state or federal funding source or to promote inclusion of Levy-funded units in mixed income and/or mixed-use buildings.

Visit the OH website (<http://seattle.gov/housing/development/construction.htm>) for the complete OH Wage Rate policy, accompanying procedures, contractor and subcontractor forms, and instructions for looking up wage rates. Borrowers should check published wage rates regularly as they are updated frequently.

## **Relocation, Displacement and Real Property Acquisition**

Development of affordable rental housing should be designed to minimize displacement of households and businesses. Any temporary relocation or permanent displacement of households or businesses must comply with all applicable provisions of (a) Seattle Municipal Code 20.84–Relocation Assistance; (b) the City’s Just Cause Eviction Ordinance; and (c) for projects using federal funds, the federal Uniform Relocation Act (URA), section 104(d) of the Housing and Community Development Act of 1974, the City’s [Residential Anti-displacement and Relocation Assistance Plan](#) (RARAP), and any other relocation regulations and handbooks applicable to the particular funding program. Federally funded projects involving any acquisition, rehabilitation or demolition must also comply with all applicable URA provisions.

These policies, laws and regulations contain, among other requirements, different timelines under which households must be given various notices and provided financial assistance under certain circumstances. Consultation with OH staff prior to submission of applications for funding is required for any applicant whose project will involve acquisition, demolition, rehabilitation, or temporary or permanent relocation activities. In order to reduce the risk of impairing eligibility for funding, applicants should not take any action regarding these activities prior to consultation with OH staff. Applicants are responsible for assuring and documenting compliance.

## **V. Application Information**

### **Application Assistance**

Prospective applicants should review this document thoroughly to understand City funding priorities, program requirements, and the City’s application process. OH expects applicants to either have real estate, development, and management experience or to partner with agencies who do. However, OH staff is available to assist applicants understand City programs and policies and to provide feedback and comments on project concepts in the early stages of pre-development. For information on housing development organizations, call the Seattle King County Housing Development Consortium (HDC) at (206) 623-4669.

### **Pre-Application Meetings**

Applicants proposing new capital projects must schedule a meeting with OH prior to submitting an application for funding. In the meeting, applicants should demonstrate how the proposed project meets the City’s goals and priorities. OH will provide feedback as to how the project aligns with available funding and program policies. As in the past, pre-application meetings between project sponsors and OH staff may include a broader range of city departments and partner funder jurisdictions. The purpose of this expansion is to create an integrated approach with partners and co-funders, City departments could include the Department of Neighborhoods and the Office of Economic Development. .

Staff of the Office of Housing’s multifamily lending team, asset management team, and race and social justice change team may also be in attendance. To arrange a pre-application meeting, please call Laurie Olson at (206) 615-0995 or email [laurie.olson@seattle.gov](mailto:laurie.olson@seattle.gov).

## Application Schedule

The following are important dates to remember:

- February 24th Last date to have a pre-application meeting
- February 25<sup>th</sup> **Applications due to OH no later than 12:00 noon**
- April 29th Award Announcements - *Estimated*

## Application Components

The City application is composed of the following parts:

1. **OH 2021 Table of Contents/Checklist** is a MS Word document containing a comprehensive list of all the narrative sections, Excel forms and attachments required for the OH application.
2. **2021 Combined Funders Application (CFA) – Narrative Questions** is a MS Word document that contains the narrative questions that the State of Washington, the Washington State Housing Finance Commission, King County and the City of Seattle have agreed to use for the convenience of applicants. The narrative questions are divided into “Sections.” For example, Section 1 is “Project Summary.”
3. The **City of Seattle Office of Housing 2021 Addendum** is a MS Word document that includes additional questions for Section 9 and provides additional information unique to the City of Seattle funding requirements.
4. **Combined Funders 2021 Application (CFA) – Project Workbook** is a MS Excel document that is divided into “Forms.” For example, Form 1 is “Project Summary” and Form 2A is “Building Information.” Form 1 should be filed behind Tab 1 after the narrative Section.

## Completeness and Assembly

Applications must contain all above required components to be considered for funding. Incomplete applications may be returned to applicants without further review.












## Application Assembly

All applicants must submit one electronic copy on CD, “drop box”, or flash drive to OH by the application deadline. *\*OH is working on a SharePoint drive which serve as a portal for due diligence documents at time of application, or post award.*

## Electronic Copy

Applicants must submit all of the application materials electronically on a USB flash drive or CD or through a drop box type application. The CD, “drop box”, or flash drive must be clearly labeled with the applicant and project name




When compiling the CD, “drop box”, or flash drive, please order folders according to the Application Checklist. Please refer to the following visual as a guide:

Name	Type
 Project Name - CFA Forms.xlsx	Microsoft Excel Worksheet
 Project Name - CFA Sections.docx	Microsoft Word Document
 Project Name - Public Funder Addendum.docx	Microsoft Word Document
 Tab 2 - Project Description	File folder
 Tab 3 - Need & Populations Served	File folder
 Tab 4 - Relocation	File folder
 Tab 6 - Development Budgets	File folder
 Tab 7 - Project Financing	File folder
 Tab 8 - Project Operations	File folder
 Tab 9 - Development Team	File folder
 Tab 10 - Services	File folder

### Naming and File Conventions

- Within each folder, name each attachment file with the project name and the name of the document as described on the Application Checklist.
- The Project Workbook must be in Excel format. Narrative responses must be in Word format. Attachments must be in PDF format.
- Scanned copies of paper documents must be legible with reasonably-sized font and, when applicable, clear signatures and dates.
- PDF’s should be searchable whenever possible, and should not be submitted “locked.”
- Please create a folder if there are multiple files addressing a single checklist item.
- If an item is not applicable to your project, simply do not include it; do not create placeholder files for “n/a” items.

Please refer to the following visual as a guide:

Name	Type
 Project Name - 10 Year Plan Letter.pdf	Adobe Acrobat Document
 Project Name - Con Plan Letter.pdf	Adobe Acrobat Document
 Project Name - Market Study.pdf	Adobe Acrobat Document



## **Where to Submit Applications**

Please e-mail applications to:

Laurie Olson, Capital Investments Manager  
Laurie.olson@seattle.gov  
Office of Housing  
City of Seattle, Seattle Municipal Tower  
PO Box 94725  
Seattle, Washington 98124-4725

Our street address is:

City of Seattle, Seattle Municipal Tower  
700 Fifth Avenue, Suite 5700  
Seattle, Washington 98104

## **Disclosure of Documents**

All proposals and related materials become the property of the City upon delivery to OH. State law, RCW Ch. 42.17, provides that public records are subject to public inspection and copying unless specifically exempted. RCW Ch. 42.17 enumerates limited exemptions a public agency's obligation to disclose public records. If the applicant believes that portions of its proposal are exempt from disclosure to third parties, the applicant must clearly label the specific portions sought to be kept confidential and specify an exemption that the applicant is relying on. However, acceptance of an application containing such designations by OH is not an agreement that such material is legally confidential, and OH cannot guarantee that such information will not be disclosed. Marking all or substantially all of an application as confidential may result in the application being rejected.

The applicant recognizes and agrees that the City will not be responsible or liable in any way for any losses that the applicant may suffer from the disclosure of information or materials to third parties, nor for any use of information or materials by third parties. Materials submitted by unsuccessful applicants are subject to disposal unless picked up promptly by the applicant, except that OH will maintain one copy in compliance with public record laws.

## **Interpreter Services**

Interpreter services for the deaf and materials in alternative formats are available upon advance request. TTY users please call Washington State Relay Service at 1-800-833-6388 and ask to be connected with the Office of Housing at 684-0721.

## **Questions**

Please contact Laurie Olson at (206) 615-0995 or email [laurie.olson@seattle.gov](mailto:laurie.olson@seattle.gov) with any questions about the application process.